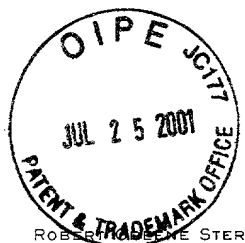


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Section 46



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 AND PROCEEDINGS BEFORE  
 FEDERAL COURTS & AGENCIES  
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 \*\*\*SENIOR COUNSEL

July 25, 2001

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Commissioner for Patents  
 Washington, D.C. 20231

**Box Missing Parts**

Re: U.S. Utility Patent Application  
 Appl. No. 09/817,229; filed March 27, 2001  
 For: **Methods for Effecting Neuroprotection**  
 Inventors: Ferguson *et al.*  
 Our Ref: 1669.0040001/SRL/BLS

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Fee Transmittal (Form PTO/SB/17) (in duplicate);
2. Copy of "Notice to File Missing Parts of Nonprovisional Application";
3. Declaration, executed by the inventors;
4. Letter to PTO Draftsman: Submission of Formal Drawings;
5. Five (5) sheets of Formal Drawings (Figures 1-5);
6. Return postcard; and
7. Our check no. 32002 for \$130.00 to cover the surcharge for late filing of declaration (37 C.F.R. § 1.16(e)).

1669.0040001

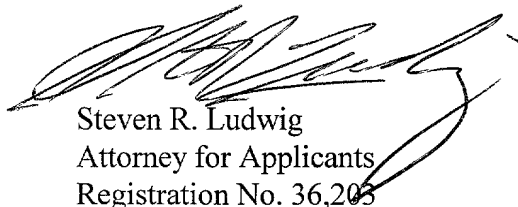
Commissioner for Patents  
July 25, 2001  
Page 2

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Steven R. Ludwig  
Attorney for Applicants  
Registration No. 36,203

SRL/BLS/dab  
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Enclosures

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D C 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/817,229	03/27/2001	Alastair V. Ferguson	1669.0040001

CONFIRMATION NO. 8063

## FORMALITIES LETTER



\*OC00000006117746\*

STERNE, KESSLER, GOLDSTEIN & FOX PLLC  
1100 NEW YORK AVENUE, N.W., SUITE 600  
WASHINGTON, DC 20005-3934

Date Mailed: 05/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

07/26/2001 JBALINAH 00000091 09817229

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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